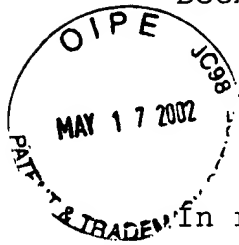


#4  
RST  
5-31-02

Docket No.: 1509-186

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Stephen GOLD et al.

Serial No. 09/878,165

Filed: June 12, 2001

For: UPGRADE OF LICENSED CAPACITY ON COMPUTER ENTITY

:  
:  
:  
:  
: Group Art Unit: 2151  
:  
: Examiner: Unknown  
:

INFORMATION DISCLOSURE STATEMENT

RECEIVED

MAY 21 2002

Honorable Commissioner of  
Patents and Trademarks  
Washington, D. C. 20231

Technology Center 2100

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Serial No. 09/878,165

Each non-English language reference was cited in a corresponding foreign application search report or office action (copy enclosed) and its relevance discussed therein.

Respectfully submitted,

LOWE HAUPTMAN GILMAN & BERNER, LLP

A handwritten signature in black ink, appearing to read "Allan M. Lowe", with a stylized flourish at the end.

Allan M. Lowe  
Registration No. 19,641

1700 Diagonal Road, Suite 310  
Alexandria, Virginia 22314  
(703) 684-1111/FAX: (703) 518-5499  
AML:cjf  
Date: May 16, 2002

<b>INFORMATION DISCLOSURE</b> <b>CITATION IN AN</b> <b>APPLICATION</b>  <b>(PTO-1449)</b>				ATTY. DOCKET NO. <b>1509-186</b>		SERIAL NO. <b>09/878,165</b>	
				APPLICANT <b>Stephen GOLD et al.</b>			
				FILING DATE <b>June 12, 2001</b>		GROUP <b>2151</b>	

RECEIVED  
 MAY 11 2002  
 JCS  
 TRADEMARK OFFICE

U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,882,474	11/1989	Anderl et al.			

RECEIVED  
 MAY 21 2002  
 Technology Center 2100

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	WO86/04720	8/1986	WO				
	2000-194552	7/2000	JP				
	2000-194552	7/2000	JP (English Abstract)				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



INVESTOR IN PEOPLE

**Application No:** GB 0114237.1  
**Claims searched:** 1 to 11

**Examiner:** Peter Davies  
**Date of search:** 26 February 2002

**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.T): G4A (AAP, AUXC)

Int Cl (Ed.7): G06F (1/00, 12/14) searched online

Other: Online: WPI, EPODOC, JAPIO, INSPEC, TDB, XPESP

**Documents considered to be relevant:**

Category	Identity of document and relevant passage	Relevant to claims
A	WO 86/04720 A1 (SELSYS) - see abstract and statement of invention on page 3	
A	US 4882474 (AMERICAN TELEPHONE AND TELEGRAPH) - see especially abstract and figure 2.	
A	WPI Abstract no. 2000-509251 & JP 2000194552 (FUJITSU) 14/07/00 (see abstract)	

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.